

## § 3 Riemann-Roch Thm

Fix  $k = \text{field}$

Cohomology:

$X$  scheme,  $\mathcal{F}$  sheaf of ab grps on  $X$   
 $| \quad X \supset U \hookrightarrow \mathcal{F}(U) \quad |$   
open

$\leadsto$  can define

$H^i(X, \mathcal{F}) = i$ -th cohomology gr of  $\mathcal{F}$

• functorial in  $\mathcal{F}$

• s.e.s  $\rightarrow$  l.e.s:

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0 \Rightarrow$$

$$\dots \rightarrow H^i(X, \mathcal{F}') \rightarrow H^i(X, \mathcal{F}) \rightarrow H^i(X, \mathcal{F}'') \rightarrow H^{i+1}(X, \mathcal{F}') \rightarrow \dots$$

• Assume  $X$  proj/ $k$ ,  $\mathcal{F}$  coh  $\mathcal{O}_X$ -Mod

$\Rightarrow H^i(X, \mathcal{F})$  fin dim'l  $k$ -v sp.  
 $= 0$  for  $i > \dim X$ .

In this case define

$$\chi(\mathcal{F}) = \sum_{i \geq 0} (-1)^i \dim_k H^i(X, \mathcal{F})$$

have

$$0 \rightarrow F' \rightarrow F \rightarrow F'' \rightarrow 0 \quad \text{s.e.s. of } \mathcal{O}_{\mathbb{P}^2}$$

$$\Rightarrow \chi(F) = \chi(F') + \chi(F'')$$

[by l.e.s. + dim formulae]

Def.

$C$  sm, proj, geom conn /  $\mathbb{R}$

$$g := g(C) = \text{genus of } C \\ = \dim_{\mathbb{R}} H^1(C, \mathcal{O}_C)$$

Ex:

i)  $g(\mathbb{P}^1) = 0$

ii)  $C = \{F=0\}$ ,  $F \in \mathcal{R}[x, y, z]$   
hom of deg  $d$

$$(\partial_x F, \partial_y F, \partial_z F)$$

no non-trivial common zero  
in  $\mathbb{R}$

$$\Rightarrow g(C) = \frac{(d-1)(d-2)}{2}$$

# Weil Divisors

$X/k$  smooth con.  $\dim X = d$

Weil Divisor is a formal sum

$$D = \sum_{i=1}^r n_i D_i$$

$n_i \in \mathbb{Z}$ ,  $D_i \subset X$  irred, closed  
 $\dim D_i = d-1 \iff \text{codim}(D_i, X) = 1$

Consider:

$$X \supset U \longmapsto \mathcal{O}_X(0)(U) = \left\{ f \in \mathcal{K}(X)^{\times} \mid \text{div}(f)|_U \geq -D|_U \right\}$$

$\left\{ \sum_{Z \subset U} v_Z(f) \cdot Z \right\}$   
 $Z \subset U$   
 $1\text{-codim}$

$\leadsto$  locally free sheaf on  $X$  of rank 1

Note:

$$\begin{aligned} \mathcal{O}_X &\xrightarrow{\cong} \mathcal{O}_X(\text{div}(g)) \\ f &\longmapsto fg^{-1} \end{aligned}$$

Degree:

$C$  smooth curve /  $k$  geom con.  
 $D = \sum n_i x_i$  Weil div on  $C$   
 $\deg(D) = \sum n_i [\mathcal{K}(x_i) : \mathcal{K}] \in \mathbb{Z}$

# Riemann-Roch Thm (1. version)

$C$  sm proj curve /  $\mathbb{C}$ , geom con  
 $g = g(C)$ ,  $D$  Weil div

$$\begin{aligned}\Rightarrow \chi(\mathcal{O}_C(D)) &= \dim_{\mathbb{R}} H^0(C, \mathcal{O}_C(D)) - \dim_{\mathbb{R}} H^1(C, \mathcal{O}_C(D)) \\ &= \underbrace{\deg(D) - g(C) + 1}_{R(D)}\end{aligned}$$

Pr: wlog  $\mathbb{R} = \overline{\mathbb{R}}$

$D=0$  : ✓

$x \in C$  ( $\Rightarrow \deg x = 1$ )  $\Rightarrow R(D+x) = R(D) + 1$

suff to show:  $\chi(D+x) = \chi(D) + 1$

S.E.S.  $0 \rightarrow \mathcal{O}_x(D) \rightarrow \mathcal{O}_x(D+x) \rightarrow \mathcal{R}_x \rightarrow 0$   
 $\uparrow$  supp on  $x \rightarrow \text{res not } 1$

$$\Rightarrow \chi(D+x) = \chi(D) + \underbrace{\dim H^0(x, \mathcal{R}_x)}_{=1}$$

□

Kor:  $f \in \mathcal{R}(C)^\times \Rightarrow \deg(\text{div}(f)) = 0$   
"  $\sum_{x \in C} v_x(f) [\mathcal{R}(x):\mathbb{R}]$

Bew: Take  $D = \text{div}(f)$  in  $\mathbb{R} \mathbb{R} \mathbb{C}$

Trace  $C/\mathbb{R}$  as above,  $K = \mathbb{R}(C)$

$$\omega_C := \int \mathbb{R}^1 C/\mathbb{R}$$

• If  $t \in \mathcal{O}_{C,x}$  is a loc parameter

$$\Rightarrow \omega_{C,x} = \mathcal{O}_{C,x} \cdot dt$$

$$\Rightarrow \omega_{C,\mathbb{R}} = K \cdot dt$$

↑  
gen pt

For  $\alpha \in \omega_{C,\mathbb{R}}$  can def  $\text{Res}_x(\alpha) \in \mathbb{R}$   
satisfying  $\sum_{x \in C} \text{Res}_x(\alpha) = 0$  (Res-Form)

if:  $x \in (P_2) \Rightarrow$   
 $\omega_{C,\mathbb{R}} \xrightarrow{\text{choice of } dx} \mathbb{R}((t)) dt$   
 $\alpha \mapsto \sum_{i \geq -2} a_i t^i dt$

then  $\text{Res}_x(\alpha) := a_{-1}$

L

Have  $0 \rightarrow \omega_C \rightarrow \bigoplus_x \omega_{C,x} \rightarrow \bigoplus_{x \in C} \left( \frac{\omega_{C,\mathbb{R}}}{\omega_{C,x}} \right) \rightarrow 0$  inj res

↑  
loc coord

↑  
isomorph local at x

$$\Rightarrow \text{Tr}: H^1(C, \omega_C) = \left( \bigoplus_x \frac{\omega_{C,\mathbb{R}}}{\omega_{C,x}} \right) / \omega_{C,\mathbb{R}} \xrightarrow{\sum \text{Res}_x} \mathbb{R}$$

## Serre Duality:

We have perfect pairing

$$H^0(C, \omega_C \otimes \mathcal{O}(-D)) \otimes H^1(C, \mathcal{O}(D)) \rightarrow H^1(C, \omega_C) \xrightarrow{\overline{F}} \mathbb{R}$$

$$\left( \bigoplus_x \frac{\mathcal{O}(D)_x}{\mathcal{O}(D)_x} \right) / \mathcal{O}(D)_x \xrightarrow{\quad} (d^2)_x$$

## Notation

We can write  $\omega_C \cong \mathcal{O}(K_C)$   
 $\uparrow$  Weil div  
called "canonical div"  
(only defined up to  $+\text{div}(f)$ )

$$\text{set } h^i(D) = \dim_{\mathbb{R}} H^i(X, \mathcal{O}_X(D))$$

Kor  $h^0(K_C - D) = h^1(D)$

## Riemann-Roch (2 versions)

$$h^0(D) - h^0(K_C - D) = 1 - g + \deg D$$

Kor:  $h^0(K_C) = g$  and  $\deg K_C = 2g - 2$

Kor:  $\deg D \geq 2g - 1 \Rightarrow h^0(D) = 1 - g + \deg D$  (use  $h^0(\mathbb{P}^1) = 0$  if  $\mathbb{E} < 0$ )

## § 4 Rationality of Zeta Fctn

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•  $k = \mathbb{F}_q$  ,  $q = p^r$

•  $C$  sm proj geom con ( $k$ ) ,  $g = g(C)$

•  $Z_0(C) =$  Weil divisors on  $C$

Recall

$$Z(C/k, t) = 1 + \sum_{d \geq 1} b_d t^d$$

with  $b_d = \left| \left\{ D \in Z_0(C)^{>0} \mid \deg D = d \right\} \right|$

Notation:  $m = \gcd \left\{ [k(x):k] \mid x \in C \text{ closed pt} \right\}$

(we will see next time  $m=1$ )

$$\Rightarrow \boxed{mZ = \deg(Z_0(C))}$$

Prop:  $\exists f \in \mathbb{Q}[t]$  with  $f(0)=1$   
and  $\deg f \leq 2g-2+2m$

s.t.

$$Z(C/k, t) = \frac{f(t)}{(1-t^m)(1-q^m t^m)}$$

first:

Lemma:  $D \in \mathcal{Z}_0(C)$      $\deg D = n$

$$|\{E \in \mathcal{Z}_0(C)^{\neq 0} \mid E \sim D\}| = \frac{q^{\deg(D) - 1}}{q - 1}$$

$\uparrow$   
 $E = D + \text{div}(f)$

Bew.

$$0 \leq E = D + \text{div}(f) \iff f \in H^0(C, \mathcal{O}(D)) \setminus \{0\}$$

$$\text{and } \text{div}(f) = \text{div}(g) \iff f/g \in \mathbb{F}^*$$

Pf Prop.:

$$Z(C, t) = 1 + \sum_{d \geq 1} b_d t^d$$

$$b_d = |\mathcal{Z}_0(C)^{\neq 0}_{\deg = d}|$$

Have  $mZ = \deg(\mathcal{CH}_0(C))$

$$\Rightarrow Z(C, t) = 1 + \sum_{d \geq 1} b_{md} t^{md}$$



Fix  $D_d$  with  $\deg D_d = md$

$$\Rightarrow z_0(C)_{\deg md}^{\text{eff}} = \frac{1}{\alpha \in H_0(C)^0} \left\{ \beta \in z_0(C)^{\text{eff}} \mid \beta \sim D_d + \alpha \right\}$$

$$\Rightarrow \textcircled{1} \quad b_{md} = \sum_{\alpha \in H_0(C)^0} \frac{q^{h^0(D_d + \alpha)} - 1}{q - 1}$$

if  $md \geq 2g-1 \Rightarrow h^0(D_d + \alpha) = 1 - g + md$

$$\Rightarrow b_{md} := \underbrace{|H_0(C)^0|}_{=A} \frac{q^{1-g+md} - 1}{q - 1}$$

( $\Rightarrow A < \infty$ )

$\Rightarrow$

$$z(C, t) = l(t) + h(t)$$

with  $l(t) \in \mathbb{Z}[t]$ ,  $\deg l(t) \leq 2g-2$   
 $l(0) = 1$

and  $h(t) = A \cdot \sum_{d > \frac{2g-2}{m}} \frac{q^{1-g+md} - 1}{q - 1} t^{md}$

Check:  $(1-t^m)(1-q^m t^m) z(C, t) = f(t)$  as in statement.

□

Similarly  $\mathbb{R}^2$  implies

Then (Functional equation)

$$Z\left(c, \frac{1}{qt}\right) = q^{1-g} t^{2-2g} Z(c, t)$$