### On the elementary theory of linear groups.

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August 3, 2012

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## First-order logic

## First-order language of groups $\mathcal{L}$

- a symbol for multiplication '.';
- a symbol for inversion '-1';
- and a symbol for the identity '1'.

#### Formula

Formula  $\Phi$  with free variables  $Z = \{z_1, \ldots, z_k\}$  is

 $Q_1 x_1 Q_2 x_2 \dots Q_l x_l \Psi(X, Z),$ 

where  $Q_i \in \{\forall, \exists\}$ , and  $\Psi(X, Z)$  is a Boolean combination of equations and inequations in variables  $X \cup Z$ . Formula  $\Phi$  is called a sentence, if  $\Phi$  does not contain free variables.

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## Examples

#### Using ${\boldsymbol{\mathcal L}}$ one can say that

- A group is (non-)abelian or (non-)nilpotent or (non-)solvable;
- A group does not have *p*-torsion;
- A group is torsion free;
- A group is a given finite group;
- $\forall x, \forall y, \forall z \ x^k y^l z^m = 1 \rightarrow ([x, y] = 1 \land [y, z] = 1 \land [x, z] = 1)$

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- A group is finitely generated (presented) or countable;
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### Formulas and Sentences

#### $\Phi(Z): \quad Q_1 x_1 Q_2 x_2 \dots Q_l x_l \Psi(X, Z),$

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- •  $\forall x \forall y \ xyx^{-1}y^{-1} = 1;$
- $\Phi(y)$ :  $\forall x \ xyx^{-1}y^{-1} = 1.$

A truth set of a formula is called *definable*.

## **Elementary equivalence**

The elementary theory Th(G) of a group is the set of all sentences which hold in G. Two groups G and H are called elementarily equivalent if Th(G) = Th(H).

ALGEBRA MODEL THEORY

#### **Problem**

Classify groups (in a given class) up to elementary equivalence.

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## Keislar-Shelah Theorem

An ultrafilter  $\mathfrak{U}$  on  $\mathbb{N}$  is a 0-1 probability measure. The ultrafilter is non-principal if the measure of every finite set is 0. Consider the unrestricted direct product  $\prod G$  of copies of G. Identify two sequence  $(g_i)$  and  $(h_i)$  if they coincide on a set of measure 1. The obtained object is a group called the ultrapower of G.

#### Theorem (Keislar-Shelah)

Let H and K be groups. The groups H and K are elementarily equivalent if and only if there exists a non-principal ultrafilter  $\mathfrak{U}$  so that the ultrapowers H<sup>\*</sup> and K<sup>\*</sup> are isomorphic.

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Let H and K be groups. The groups H and K are elementarily equivalent if and only if there exists a non-principal ultrafilter  $\mathfrak{U}$  so that the ultrapowers  $H^*$  and  $K^*$  are isomorphic.

### **Results of Malcev**

#### Theorem (Malcev, 1961)

Let G = GL (or PGL, SL, PSL), let  $n, m \ge 3$ , and let K and F be fields of characteristic zero, then  $G_m(F) \equiv G_n(K)$  if and only if m = n and  $F \equiv K$ .

#### Proof

If  $G_m(F) \equiv G_n(K)$ , then  $G_m^*(F) \simeq G_n^*(K)$ . Since  $G_m^*(F)$  and  $G_n^*(K)$  are  $G_m(F^*)$  and  $G_n(K^*)$ , the result follows from the description of abstract isomorphisms of such groups (which are semi-algebraic, so they preserve the algebraic scheme and the field). In fact, in the case of *GL* and *PGL* the result holds for  $n, m \ge 2$ .

#### Theorem (Malcev, 1961)

Let G = GL (or PGL, SL, PSL), let  $n, m \ge 3$ , and let R and S be commutative rings of characteristic zero, then  $G_m(R) \equiv G_n(S)$  if and only if m = n and  $R \equiv S$ .

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• Malcev stresses the importance of the case when  $R = \mathbb{Z}$ , and n = 2.

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## Results of Durnev, 1995

#### Theorem

The  $\forall^2$ -theories of the groups  $GL(n,\mathbb{Z})$  and  $GL(m,\mathbb{Z})$  ( $PGL(n,\mathbb{Z})$ ) and  $PGL(m,\mathbb{Z})$ ,  $SL(n,\mathbb{Z})$  and  $SL(m,\mathbb{Z})$ , or  $PSL(n,\mathbb{Z})$  and  $PSL(m,\mathbb{Z})$ ) are distinct, n > m > 1. If n is even or n is odd and  $m \le n - 2$ , then even the corresponding  $\forall^1$ -theories are distinct.

#### Theorem

There exists m so that for every  $n \ge 3$ , the  $\forall^2 \exists^m$ -theory of  $GL(n,\mathbb{Z})$  is undecidable. Similarly, for every  $n \ge 3$ ,  $n \ne 4$ , the  $\forall^2 \exists^m$ -theory of  $SL(n,\mathbb{Z})$  is undecidable.

That is, there exists *m* so that for any *n* there is no algorithm that, given a  $\forall^2 \exists^m$ -sentence decides whether or not it is true in  $GL(n, \mathbb{Z})$  (or  $SL(n, \mathbb{Z})$ )

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## Lifting elementary equivalence

- Let  $1 \rightarrow N \rightarrow G \rightarrow Q \rightarrow 1$  be a group extension.
- Use Q and N to understand Th(G).
- Suppose that we know which groups are elementarily equivalent to *N* and *Q*.
- Then if the action of *Q* on *N* can be described using first-order language and if *N* is definable in *G*, then we may be able to describe groups elementarily equivalent to *G*.

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#### Example

- Linear groups.
- Soluble groups.
- Nilpotent groups.

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Finitely generated groups elementarily equivalent to  $PSL(2,\mathbb{Z}), SL(2,\mathbb{Z}), GL(2,\mathbb{Z})$  and  $PGL(2,\mathbb{Z})$ 



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 $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \text{ and } T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \text{ generate } SL(2, \mathbb{Z}).$ S has order 4, ST has order 6,  $S^2 = (ST)^3 = -I_2$ ,

 $SL(2,\mathbb{Z})\simeq \mathbb{Z}_4*_{\mathbb{Z}_2}\mathbb{Z}_6$  and  $PSL(2,\mathbb{Z})=\mathbb{Z}_2*\mathbb{Z}_3=\frac{SL(2,\mathbb{Z})}{Z(SL(2,\mathbb{Z}))}$ .

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#### Theorem (Sela, 2011)

A finitely generated group G is elementary equivalent to  $PSL(2,\mathbb{Z})$  if and only if G is a hyperbolic tower (over  $PSL(2,\mathbb{Z})$ ).

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- Axiomatisation of PSL(2, Z) and decidability

## Hyperbolic towers over $PSL(2,\mathbb{Z})$

- Induction on height of tower.
- Any hyperbolic tower T<sup>0</sup> of height 0 is a free product of *PSL*(2, ℤ) with some (possibly none) free groups and fundamental groups of hyperbolic surfaces of Euler characteristic at most -2.
- A hyperbolic tower T<sup>n</sup> is built from a tower T<sup>n-1</sup> by taking free product of T<sup>n-1</sup> with free groups and surface groups and then attaching finitely many hyperbolic surface groups or punctured 2-tori along boundary subgroups in such a way that T<sup>n</sup> retracts to T<sup>n-1</sup> and the restriction of this retraction onto any of the surfaces has nonabelian image in T<sup>n-1</sup>

Hyperbolic towers over  $PSL(2,\mathbb{Z})$ 



- We have  $1 \to \mathbb{Z}_2 \to SL(2,\mathbb{Z}) \to PSL(2,\mathbb{Z}) \to 1$ .
- Let  $G \equiv SL(2,\mathbb{Z})$ , then  $Z(G) \equiv Z(SL(2,\mathbb{Z}))$ , hence  $Z(G) = \mathbb{Z}_2$ .
- Since Z(G) is definable and G is f.g.,
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- Z(G\*) ≃ Z(G)\* and G\* is the central extension of Q\* by Z(G)\*. The corresponding cocycle f\* : Q\* × Q\* → A\* is defined coordinate-wise, i.e. f\* = (f).
- The cocycle  $h : PSL(2, \mathbb{Z}) \times PSL(2, \mathbb{Z}) \rightarrow \mathbb{Z}_2$  satisfies: h(x, x) = 1 for all x of order 2, and h(y, z) = 0 otherwise.
- By the properties of ultrafilters, the same holds the cocycle h<sup>\*</sup> = (h) which defines SL(2, Z)<sup>\*</sup> as the extension of PSL(2, Z)<sup>\*</sup>.

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#### Theorem

A finitely generated group G is elementarily equivalent to  $SL(2, \mathbb{Z})$ if and only if G is the central extension of a hyperbolic tower over  $PSL(2,\mathbb{Z})$  by  $\mathbb{Z}_2$  with the cocycle  $f : PSL(2,\mathbb{Z}) \times PSL(2,\mathbb{Z}) \rightarrow \mathbb{Z}_2$ , where f(x,x) = 1 for all  $x \in PSL(2,\mathbb{Z})$  of order 2 and f(x,y) = 0otherwise.

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#### Conjecture

There are commutative rings R and S so that  $R \equiv S$ , but  $SL(2, R) \not\equiv SL(2, S)$ 

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Recall that

$$BS(m,n) = \langle a, b \mid a^{-1}b^m a = b^n \rangle$$

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- In BS(1, n), one has C(b) = BS(1, n)' is a normal, abelian *n*-divisible subgroup (and contains BS(1, n)').
- It follows that if  $G \equiv BS(1, n)$ , then there is  $A \triangleleft G$ ,  $A \equiv BS(1, n)'$  and  $Q = \frac{G}{A} \equiv \frac{BS(1, n)}{BS(1, n)'}$ .
- **3** G is f.g. iff Q is f.g. and A is f.g. as Q-module.
- Using Szmielew's theorem and the structure theorem for divisible abelian groups, we get: Q ~ Z and A ~ Z[<sup>1</sup>/<sub>n</sub>].
- It is now left to understand the action of Q on A. The corresponding groups are classified and one can exhibit a formula that distinguishes BS(1, n) from any other such group.

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### Nilpotent groups: elementary equivalence

Free nilpotent group  $UT_3(\mathbb{Z})$  of class 2 and rank 2:

 $1 \to \mathbb{Z} = Z(UT_3(\mathbb{Z})) \to UT_3(\mathbb{Z}) \to \mathbb{Z}^2 \to 1$ 

Theorem (Oger) Two f.g. nilpotent groups G and H are elementarily equivalent iff  $G \times \mathbb{Z} \simeq H \times \mathbb{Z}$ .

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Theorem (Belegradek)  $G \equiv UT_3(R) \text{ iff } G \simeq UT_3(S, f_1, f_2) \text{ and } S \equiv R.$  $UT_3(R) = \left\{ \begin{pmatrix} 1 & \alpha & \beta \\ 0 & 0 & 1 \end{pmatrix} \right\}$ , with the multiplication:

 $(\alpha,\beta,\gamma)(\alpha',\beta',\gamma') = (\alpha + \alpha',\beta + \beta',\gamma + \gamma' + \alpha\beta').$ 

Let  $f_1, f_2 : R^+ \times R^+ \to R$  be two symmetric 2-cocycles. New operation on  $UT_3(R)$ :

 $(\alpha,\beta,\gamma)\circ(\alpha',\beta',\gamma')=(\alpha+\alpha',\beta+\beta',\gamma+\gamma'+\alpha\beta'+f_1(\alpha,\alpha')+f_2(\beta,\beta')).$ 

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• As a set  $Z(UT_3(R)) = R$ .

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- Furthermore, we can "interpret" multiplication in R as:
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*R* is interpretable in  $UT_3(R)$ . It follows that the elementary theory of  $UT_3(\mathbb{Z})$  (=free 2-nilpotent 2-generated) is undecidable.

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Lie ring/algebra of a nilpotent group

Let G be t.f. nilpotent. Define Lie(G) as follows:

- $Lie(G) = \bigoplus_{i=1}^{\infty} \Gamma_i / \Gamma_{i+1}$ , as an abelian group;
- Let  $x = \sum_{i=1}^{\infty} x_i \Gamma_{i+1}$  and  $y = \sum_{i=1}^{\infty} y_i \Gamma_{i+1}$ , where  $x_i, y_i \in \Gamma_i$ be elements of Lie(G). Define a product  $\circ$  on Lie(G) by

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## *R*-groups

#### Example

- For a free nilpotent group, Lie(G) is a free nilpotent Lie ring.
- For a nilpotent pc group, Lie(G) is a pc nilpotent Lie algebra.

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If we are to understand groups  $\equiv$  to an *R*-group *G*, we should understand rings  $\equiv$  to the Lie *R*-algebra *Lie*(*G*).

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## Nilpotent groups and *R*-groups

Let *R* be an associative domain. The ring *R* gives rise to the category of *R*-groups. Enrich the language  $\mathcal{L}$  with new unary operations  $f_r(x)$ , one for any  $r \in R$ . For  $g \in G$  and  $\alpha \in R$  denote  $f_{\alpha}(g) = g^{\alpha}$ .

#### Definition

An structure G of the language  $\mathcal{L}(R)$  is an R-group if:

- G is a group;
- $g^0 = 1, g^{\alpha+\beta} = g^{\alpha}g^{\beta}, g^{\alpha\beta} = g^{\alpha\beta}.$

As the class of R-groups is a variety, so one has R-subgroups, R-homomorphisms, free R-groups, nilpotent R-groups etc.

#### Example

*R*-modules are *R*-groups.

## Hall *R*-groups

P. Hall introduced a subclass or *R*-groups, so called Hall *R*-groups.

#### Definition

Let *R* be a *binomial* ring. A nilpotent group *G* of a class *m* is called a Hall *R*-group if for all  $x, y, x_1, \ldots, x_n \in G$  and any  $\lambda, \mu \in R$  one has:

• G is a nilpotent R-group of class m;

• 
$$(y^{-1}xy)^{\lambda} = (y^{-1}xy)^{\lambda};$$

•  $x_1^{\lambda} \cdots x_n^{\lambda} = (x_1 \cdots x_n)^{\lambda} \tau_2(x)^{C_2^{\lambda}} \cdots \tau_m(x)^{C_m^{\lambda}}$ , where  $\tau_i(x)$  is the *i*-th Petrescu word defined in the free group F(x) by

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Let R be a binomial ring. Then the unitriangular group  $UT_n(R)$  and, therefore, all its subgroups are Hall R-groups.

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## Idea of Miasnikov (late 1980's)

- With an *R*-algebra *A*, associate a nice bilinear map  $f_A : A/Ann(A) \times A/Ann(A) \rightarrow A^2$ .
- A ring  $P(f_A) ⊇ R$ , and the  $P(f_A)$ -modules  $A^2$  and A/Ann(A) are interpretable in A in the language of rings.

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Algebras elementarily equivalet to well-structured algebras

Let A be well-structured and  $Ann(A) = A^2$ . Let B be a ring  $\equiv$  to A.

$$\begin{array}{cccc} 1 \rightarrow A^2 & \rightarrow A \rightarrow & A/A^2 \rightarrow 1 \\ 1 \rightarrow B^2 & \rightarrow B \rightarrow & B/B^2 \rightarrow 1 \\ 1 \rightarrow A^{2^*} & \rightarrow A^* \rightarrow & A/A^{2^*} \rightarrow 1 \end{array}$$

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## Well-structured algebras

Definition

A is called *well-structured* if

- $R = P(f_A)$  and  $Ann(A) < A^2$ ;
- the modules  $A^2$ ,  $A_{Ann(A)}$ , Ann(A),  $A_{A^2}$  and  $A^2_{Ann(A)}$  are free; in this case, the algebra A, as an R-module, admits the following decomposition

$$A \simeq A/A^2 \oplus A^2/Ann(A) \oplus Ann(A);$$

• Let  $U = \{u_1, \ldots, u_k\}$ ,  $V = \{v_1, \ldots, v_l\}$  and  $W = \{w_1, \ldots, w_m\}$  be basis of the free modules  $A/A^2$ ,  $A^2/Ann(A)$  and Ann(A), respectively. Then the structural constants of A in the basis  $U \cup V \cup W$  are integer. In other words,

$$xy = \sum_{s=1}^{k} \alpha_{xys} u_s + \sum_{s=1}^{l} \beta_{xys} v_s + \sum_{s=1}^{m} \gamma_{xys} w_s,$$

where  $x, y \in U \cup V \cup W$  and  $\alpha_{xys}, \beta_{xys}, \gamma_{xys} \in \mathbb{Z}$ .

### Characterisation theorem for well-structured algebras

Theorem (Casals-Ruiz, Fernandez-Alcober, K., Remeslennikov) Let A be a well structured R-algebra and B be a ring. Then

 $B \equiv A$  if and only if  $B \simeq QA(S, \mathfrak{s})$ 

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for some ring S,  $S \equiv R$  and some symmetric 2-cocycle  $\mathfrak{s} \in S^2(\mathbb{Q}^{Q/QA^2}, Ann(QA))$ .

## Abelian deformations

#### Definition

Let A be a well-structured  $P(f_A)$ -algebra. Define the ring  $QA = QA(S, \mathfrak{s})$ , called *abelian deformation of A*, as follows.

- Let S be a commutative unital ring of characteristic zero. Let K, L, and M be free S-modules of ranks  $rank(A/A^2)$ ,  $rank(A^2/Ann(A))$  and rank(Ann(A)), respectively.
- The ring QA, as an abelian group, is defined as an abelian extension of M by K ⊕ L via a symmetric 2-cocycle: let x<sub>1</sub>, y<sub>1</sub> ∈ K, x<sub>2</sub>, y<sub>2</sub> ∈ L, x<sub>3</sub>, y<sub>3</sub> ∈ M and s ∈ S<sup>2</sup>(K, M), set

 $(x_1, x_2, x_3) + (y_1, y_2, y_3) = (x_1 + y_1, x_2 + y_2, x_3 + y_3 + \mathfrak{s}(x_1, y_1)).$ 

• The multiplication in *QA* is defined on the elements of the basis of *K*, *L* and *M* using the structural constants of *A* and extended by linearity to the ring *QA*.

## Lie algebras of some groups

#### Theorem

Let R be an integral domain of characteristic zero. And let G be one of the following groups:

- free nilpotent *R*-group;
- *UT*(*n*, *R*);
- directly indecomposable partially commutative nilpotent *R*-group.

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Then Lie(G) is well-structured.

### Characterisation theorem for groups

Theorem (Casals-Ruiz, Fernandez-Alcober, K., Remeslennikov) Let G and R be as above and let H be a group,  $H \equiv G$ . Then H is QG(S) over some ring S such that  $S \equiv R$  as rings.

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